







2019 Options Industry Conference **Sponsorship & Exhibitor Prospectus**

Sponsored by:









Why Sponsor at the Options Industry Conference?

The 37th Annual Options Industry Conference is a premier forum which allows firms the opportunity to exchange ideas, prospect new relationships and listen to meaningful content that addresses important issues affecting today's options market. Historically, the success of this event has been attributed to its well-balanced delivery of education, strategic insight and networking opportunities.

The Options Industry Conference is attended by senior level executives, decision makers, and representatives from all segments of the options business including:

- Exchanges
- · Clearing firms
- · Retail brokerage firms
- · Institutional brokerage firms
- · Trading and market maker professionals
- Financial technology firms
- Media

The 2019 conference will be held at the beautiful Trump National Doral Miami from May 1-3, 2019. Discussions will focus on the most relevant topics facing our industry today including trading issues, regulatory impacts and technology solutions. Be a part of the options industry's most important annual event by becoming a conference sponsor or exhibitor!

We have enclosed a comprehensive list of sponsorship opportunities. Thank you in advance for your sponsorship consideration!













This iconic Miami resort pairs legendary, championship golf courses with breathtaking views and elegant surroundings in a word-class destination conveniently located 8 miles from Miami International Airport. Following a \$250 million renovation, the prestigious 800-acre resort boasts a completely redesigned clubhouse, new deluxe accommodations, fresh restaurant concepts, a reimagined spa, and an array of retail shops and recreational amenities.

The Options Industry Conference is a great opportunity to meet with Industry decision makers from multiple customers, prospects, partners and vendors in one centralized location. It provides firms with great exposure for their company & services all while in a fun & relaxing atmosphere. As an Industry leader in Options Order & Execution Management systems, TRAFiX has had tremendous success at the OIC and will continue to be a big supporter of the conference!

Michael Ottrando | Managing Director, Head of Sales | TRAFiX









The Options Industry Conference will give your company an unparalleled opportunity to reach top-level management and market trading professionals. The conference sponsorship program is designed to provide maximum visibility and recognition. On the following pages, you will find a list of available sponsorship opportunities for 2019.

Sponsorship level is determined by the total amount of purchased sponsorships and exhibit space.

For example, by reserving the Wednesday Reception Bar (\$10,000) and a Single Exhibitor Lounge (\$6,500), your company would earn a Gold Sponsor level

Each individual sponsorship you select has its own set of inclusions, and if you qualify as a top-level sponsor you are provided these additional benefits.

Top Level Sponsor Benefits

platinum \$30,000+

- Three complimentary conference registrations a \$3,585 VALUE!
- Complimentary spotlight banner advertisement on conference website
- Complimentary spotlight banner advertisement on two pre-conference emails
- Detailed description of your company's services on conference website
- · Logo placement with link on conference website
- Logo placement on conference marketing materials and signage, including general session stage
- Access to conference registration list
- Sponsorship recognition through conference mobile app, including push notifications and enhanced listing

gold 5.000+

- Two complimentary conference registrations a \$2,390 VALUE!
- Complimentary spotlight banner advertisement on conference website
- Detailed description of your company's services on conference website
- · Logo placement with link on conference website
- Logo placement on conference marketing materials and signage
- Access to conference registration list
- Sponsorship recognition through conference mobile app, including push notification and enhanced listing

silver \$7,500+

- One complimentary conference registration a \$1,195 VALUE!
- Detailed description of your company's services on conference website
- · Logo placement with link on conference website
- Logo placement on conference marketing materials and signage
- Access to conference registration list (no sooner than six weeks prior to event)
- Sponsor listing in conference mobile app

bronze \$2,500+

- Detailed description of your company's services on conference website
- · Logo placement with link on conference website
- Logo placement on conference marketing materials and signage
- Access to conference registration list (no sooner than six weeks prior to event)
- Sponsor listing in conference mobile app

To reserve a sponsorship, or for more information regarding sponsorship opportunities, please contact:

Tricia Perl pperl@theocc.com

Dominique Prunetti Miller dprunetti@miaxoptions.com



Sponsorship Opportunities

Be visible at the options industry's premier annual event by becoming a conference sponsor! This year's conference will offer a range of traditional and destination-driven sponsorships, as well as unique Attendee Lounge opportunities. The list of available sponsorships is provided below and on the following pages.

To reserve a sponsorship, or for more information regarding sponsorship opportunities, please contact:

Tricia Perl

pperl@theocc.com

Dominique Prunetti Miller

dprunetti@miaxoptions.com

Attendee Lounge Sponsorships

Wednesday Attendee Lounge Grand Opening Cocktails – \$10,000



Prominent signage in the Attendee Lounge and cocktail napkins with company logo will welcome attendees to the opening of the conference.

All-Day Beverage Station - \$10,000 NEW SOLD



Branded beverage station in Attendee Lounge will be available during all open hours on Wednesday, Thursday and Friday. Includes branded coffee cups and prominent signage.

Breakfast – \$5,000/Thursday or Friday - \$8,000/Thursday & Friday



Branded breakfast table tents and prominent signage with company logo will be displayed in buffet area.

Snack Breaks - \$3,000/daily

sold ay, T

Snack break will be field in the Attendee Lounge. Signage with company logo will be displayed on snack stands and nankins

Charging Lounge - \$5,000



Branded charging lounge located in the Attendee Lounge for attendees to relax and charge their electronic devices.

Attendee Lounge Tote Bag – \$2,500



Company logo on tote bags available through the Attendee Lounge to hold promotional items, collateral materials, etc.

Wednesday Morning Tennis Clinic - \$1,500

Special Event Sponsorships

Tuesday Registration Welcome – \$5,000 (solv)



Prominent signage at conference registration desk in the main hotel lobby bar area, featuring drinks and snacks.

Wednesday Evenina Bar - \$10.000



Prominent signage at all bars, as well as cocktail napkins with company logo.

Wednesday Evening Specialty Drink & Cigar Stations – \$20,000

Prominent signage at both stations, including cigar bands, cutters, and cocktail napkins with company logo. Also includes evening entertainment.

Wednesday Evening Dinner – \$22,500 (2 c



Prominent signage at the dinner featuring company logo and branding.

Evening Afterhours Events - \$7,500 Wednesday

or Thursday – \$12,500/Wednesday & Thursday

The lobby bar at our host hotel is always the hottest networking spot at the conference! Sponsor to host afterhours event on Wednesday or Thursday evening (after conference hosted dinner events) in the Library, the hotel's main lobby bar area. The cash bar will be open only to conference attendees, and the area includes both indoor and outdoor space. Sponsorship to include cocktail napkins, bar snacks and signage, plus a promotional push message via the conference app. Sponsor can upgrade to provide hosted drinks.

Thursday Late Night Miami Courtesy Shuttle & Nightlife Map - \$10,000

Late night bus shuttle to and from exciting Miami Beach/South Beach from our Exchange Dinner off-site location, along with a customized map of some of Miami's best nightlife spots, distributed to all conference attendees via the conference app. Includes inapp push notification and logo icon on app home screen.



Golf Holes – \$1,000/hole (13 available)



Company logo will be displayed on signage at the tee area and pin flag. Opportunity for sponsor to staff the hole, and sponsor is encouraged to provide activity or other draw for the hole. Sponsor will be responsible for any additional amenities or food and beverage.

Golf Continental Breakfast – \$2,500

Includes sponsorship of breakfast for golfers. Company logo will be displayed, including napkins, branded coffee cups and signage.

Golf Box Lunch - \$2,500



Includes sponsorship of lunch for golfers. Company logo will be displayed, including branded lunch boxes, napkins and signage.

Golf Cart Signs – \$2,500



Golf carts will display company logo prominently on the cart province.

Golf Longest Putt Contest - \$2,500



Signage displaying company logo located at the tee area

Golf Closest to the Pin Contest – \$2,500



Signage displaying company logo located at the tee area.

Golf Longest Drive Contest – \$2,500



Signage displaying company logo located at the tee area.

Beat the Pro Hole – \$3.500



Company logo will be displayed on signage at the tee area and pin flag. Golfers who hit their shot closer to the hole than the pro will win a prize. Prize(s) will be provided by the conference.

Specialty Drink Hole – \$5,000



Company logo will be displayed on signage at the tee area and pin flag. Beverage costs are included in sponsorship.

Golf Towels/Balls - \$5,000

Company logo will be featured on towels and (1) sleeve of balls distributed to golfers.

Golf Beverage Carts – \$5,000/cart (2 avails SOLP



Beverage cart to feature company logo. Cart will distribute beverage koozies with company logo.

FEATURED NEW

Friday Afternoon Rick Smith Golf Performance Center Event - \$3.500



Conference attendees will gather for an afternoon of networking at the hotel's lush Royal Palm Pool. Limited sponsorships are available for this event, ensuring high visibility for sponsors. The conference will sponsor a casual lunch buffet and a beer/wine bar for all attendees. Sponsors are encouraged to offer additional items in their cabana area (cool treats, specialty drinks, etc.).

Royal Palm Cabanas – \$10,000 (6 available)



11'x11' cabanas include a seating area with TV, and will be branded.

Lounge Seating Area – \$6,000 (2 available)



10'x10' area includes two oversized loungers, large umbrella and two highboys. Area will be branded.

Royal Palm Pool Sponsorship – \$20,000 (1(SOLP))le)



Includes guest amenities at pool entrance (sunscreen/water/etc.), beach balls and pool floats, pool signage, beach towels for attendees and branding at grill area.

In addition to specific branding noted above, all sponsors receive bar branding & general signage in event area.

The OIC Conference is a "can't miss" event for the Hanweck team. By bringing together the key players in our industry, OIC presents a truly unique forum to connect with our customers and colleagues. We have been a proud supporter and sponsor of the conference for many years, and are excited to do so again in 2019.





Sponsorship Opportunities 2019



General Sponsorships

Registration Bags - \$12,500



Company logo will be displayed on registration bag, distributed to all conference attendees at registration.

All Access Pass – \$12,500 NEW





Conference badge, distributed to all conference attendees at registration, will display sponsor logo on front and conference schedule on the back side. Branded lanyard included

Check-in Experience – \$12,500 NEW





Company logo will be imprinted on hotel room keys, distributed to all hotel guests in branded key packet. Venue map will be branded with sponsor logo. Also includes branded welcome beverage station in hotel lobby on Tuesday/Wednesday

Conference Wireless Internet - \$10,00 solp



Placard with company logo will be distributed to each attendee highlighting sponsorship of guest room internet and wireless internet in meeting space. Company logo and link featured on mobile app internet icon.

Conference Attendee App - \$10,000



The Conference App will be the main tool for attendees to connect, build their schedule, and stay up to date on conference events throughout the week. Includes branded splash screen (viewable every time app is opened), link to sponsor website via home page icon, multiple rotating banner ads throughout the app and recognition on app launch emails. The conference app was downloaded by 78% of attendees in 2018.

General Session Notepads

- \$5,000/Wednesday or Thursday \$3,500 f

\$12,000/All days

Notepad to feature company logo and placed in General Session on Wednesday afternoon, Thursday morning or Friday morning.

General Session Pens

- \$4,000/Wednesday or Thursday \$2,500 F
- \$9,500/All days



Pen to feature company logo, placed in General Session on Wednesday afternoon, Thursday morning or Friday morning.

Villa Lobby Sponsorship – \$5,000 NEW



Sponsor the mini-lobby areas of the Doral's individual hotel villa buildings (8 total). Includes signage, branded newspapers and mints to make a first impression each morning. Includes Wednesday, Thursday & Friday morning.

Bottled Water Sponsor – \$5,000

4-pack of bottled water provided at hotel check-in, branded with sponsor logo.

Panel Session Sponsorship - \$2,500 (5 available)

Company logo will be displayed during selected panel presentation. Includes the option for company representative to introduce the panelists and session. Also includes conference app push notification to advertise session. Session sponsors will not have input as to panel topic or panelist selection.

General Room Drop – \$2,500/Room Drop

Opportunity to drop marketing materials and/or a logo promotion item in each attendee guest room. Limited to one item/piece of collateral, i.e. one flyer, one giveaway, etc. Sponsor to provide item for delivery.

Daily Update - \$2,000/Day - \$5,000/All day



Nightly electronic update to include next day's sessions and activities, branded with sponsor logo, delivered to each attendee via email and conference app (Tuesday, Wednesday and Thursday).

Weather Sponsor – \$1,500



Branded card featuring tomorrow's forecast nightly on each attendee's pillow (Tuesday, Wednesday and Thursday). Can be supplemented with sponsor-provided branded item.

General Session Chair Drop - \$1,000 (4 available)

Drop marketing materials or a logo promotional item during the General Session on Wednesday, Thursday or Friday.

Registration Bag Insert - \$1,000

Include marketing materials or a logo promotion item in the registration bag, distributed to all conference attendees at registration. Size restrictions may apply.

For more information about these sponsorships, or to discuss an opportunity not listed here, please contact:

Tricia Perl pperl@theocc.com **Dominique Prunetti Miller** dprunetti@miaxoptions.com



The Attendee Lounge will provide an exclusive setting for you and your company's representatives to directly interact with attendees throughout the event. Located in a light-filled foyer space, attendees will move through the Attendee Lounge as they go into and out of the General Session.

To maximize networking time - while helping to keep costs down - only lounge spaces will be available. The lounge spaces offer participating companies a full turn-key networking space without the need for expensive booth shipping or material handling costs! See below for more information on lounge areas and exclusive lounge area benefits.

Exhibitor Lounge Spaces:

Single Exhibitor Lounge – \$6,500

8' x 10' area (existing hotel carpet)

4' x 8' full color back wall (exhibitor can provide graphics) that includes branded counter height pub-style table with four bar stools

Double Exhibitor Lounge – \$10,000

8' x 20' area (existing hotel carpet)

4' x 8' full color back wall (exhibitor can provide graphics) that includes branded counter height pub-style table with four bar stools

Seating area to include couch, two end chairs, coffee table and branded pillows.



Save on booth shipping costs!

Exhibitor lounge spaces offer participating companies a full turn-key networking space without the need for expensive booth shipping or drayage costs!







2019 Attendee Lounge Benefits

- One registration for 8' x 10' lounge area
- Two registrations for 8' x 20' lounge area
- Two hours of private meeting space (located adjacent to exhibit hall) for 10 x 10 lounge
- Four hours of private meeting space (located adjacent to exhibit hall) for 10 x 20 lounge
- · Full color oversized signage
- Lounge furniture (no booth or additional furniture required)
- Secure storage space for booth materials/giveaways
- · Complimentary wireless internet
- · Exhibitor listing in conference mobile app
- Detailed description of your company's services on conference website
- Logo placement with link on conference website, marketing materials and signage
- Access to conference registration list (no sooner than 6 weeks prior to event)

Exhibit Times*

Wednesday, May 2 3:00 pm - 5:00 pm

5:00 pm - 6:30 pm

(cocktail reception in Attendee Lounge)

Thursday, May 3 7:00 am - 12:00 pm

Friday, May 4 7:00 am - 12:00 pm

* Hours subject to change

For more information regarding Attendee Lounge opportunities or to discuss an opportunity not listed, please contact:

Tricia Perl pperl@theocc.com

Dominique Prunetti Miller dprunetti@miaxoptions.com

Great opportunity to meet and understand the needs of the Client-side on and off the stage. A well-organized event along with good networking opportunities.

- Paval Goreggonkar | Deputy Manager International Affairs | National Stock Exchange of India Ltd. (NSE









SPONSORSHIP & EXHIBITOR AGREEMENT Options Industry



This Sponsorship and Exhibitor Agreement ("Agreement") for the 2019 Options Industry Conference ("Ever between The Options Clearing Corporation ("OCC") and ("C				
SPONSORSHIP AND EXHIBI	T SELECTION (SEE "SPONSORSH	IIP & EXHIBITOR PROSPEC	TUS" FOR DETAILS):	
Sponsorship Item(s)				
Total Sponsorship Fees				
	o for a 10' x 10' single lounge space 00 10' x 20' double lounge space			
Total Sponsorship and Exhib	itor Fees:			
Company Information (Ple	ase print or Type)			
Contact Information (to appear on Conference Materials)		Company Co	Company Conference Logistics Contact	
Company Name (to appear on Conference Materials)		Name (if different from Contact Information column)		
Address		Address		
City/ State/ Zip		City/ State/ Zip		
Contact Name	Title	Contact Name	Title	
Email		Email		
Phone	Fax	Phone	Fax	
	OF AGREEMENT e, title and date in the space bel eceipt of signed Agreement.	ow and return this Agreen	nent to OCC. OCC will email	
COMPANY	THE OP	HE OPTIONS CLEARING CORPORATION		
Signed: Name: Title:	Name:	Name:		
Date				

SPONSORSHIP & EXHIBITOR AGREEMENT



TERMS AND CONDITIONS

I. BINDING ON COUNTERSIGNATURE

The Agreement shall become binding and effective only upon countersignature by OCC. OCC will return a countersigned copy to Sponsor as described above.

2. FEES

OCC will invoice Company for payment of fees due under this Agreement ("Sponsorship and Exhibitor Fees"). Company will pay Sponsorship and Exhibitor Fees as provided in that invoice. Unless otherwise explicitly stated herein, all Sponsorship and Exhibitor Fees paid are non-refundable.

3. EVENT CHANGES

OCC may, in its reasonable discretion, change Event dates and times, and may cancel any portion of the Event. OCC shall make reasonable efforts to accommodate Company if the Company's sponsorship or exhibit is materially diminished or modified as a result of such changes, but OCC is not obligated to refund any paid Sponsorship and Exhibitor Fees. OCC shall inform Company of any such changes promptly and in writing.

4. TERMINATION

Company may only terminate this Agreement as provided herein. Company may terminate this Agreement on 15 days' prior written notice to OCC. If Company terminates this Agreement on or prior to December 31, 2018, OCC will refund 50% of the Sponsorship and Exhibitor Fees paid by Company prior to such date. If Company terminates this Agreement after December 31, 2018, Company will remain obligated to pay 100% of the Sponsorship and Exhibitor Fees and will not be entitled to a refund of all or any portion of Sponsorship and Exhibitor Fees prepaid by Company.

OCC may terminate this Agreement at any time for any reason upon written notice to Company. If OCC terminates this Agreement, OCC shall refund to Company any Sponsorship and Exhibitor Fees paid by Company. This refund is Company's sole and exclusive right and remedy in the event of termination by OCC, and Company will not be entitled to any other payment or claim for damages, whether incidental, direct, consequential, special or otherwise in the event of termination by OCC.

5. FORCE MAJEURE

Any failure or delay by OCC in the performance of its obligations under this Agreement will not be deemed a default under the Agreement or grounds for termination hereunder, provided that such failure or delay could not have been prevented by reasonable precautions and cannot reasonably be circumvented through the use of alternate sources, work-around plans or other means to the extent such failure or delay is caused by fire, flood, earthquake, elements of nature or acts of God, public utility electrical failure, acts of war, terrorism, riots, civil disorders, rebellions or revolutions, strikes, lockouts, labor difficulties, court order, convention center/hotel construction or renovation project, government regulation, public catastrophe, or any other similar cause beyond the reasonable control of OCC (each, a "Force Majeure Event). If, because of a Force Majeure Event, the Event or any part thereof is prevented from being held, is canceled by OCC or the space becomes unavailable, OCC, in its sole discretion, shall determine and refund to the Company its proportionate share of the balance of the aggregate Sponsorship and Exhibitor Fees received which remains after deducting expenses incurred by OCC and reasonable compensation to OCC. In no case shall the amount of the refund to the Company exceed the amount of the Sponsorship and Exhibitor Fees paid by Company.

6. NO ASSIGNMENT

The rights hereunder are exclusive to Company. Company may not assign its rights or obligations hereunder to a third party without the prior written consent of OCC.

7. RESTRICTIONS

Except as provided in this Agreement, Company may neither (i) host, sponsor, or participate in any hospitality suites/rooms, social functions, education seminars, outings, group activities, or similar events during or related to the Event, nor (ii) distribute sales or promotional materials, any item with Company's logo, or any gifts to attendees, including without limitation in or on hotel room doors, meeting rooms or anywhere else in the hotel and/or exhibit facility. All activities and events conducted by Company during the Event, including events permitted by OCC, must be contracted through OCC, or OCC's designee. Company may not contract directly with the hotel, its vendors, or other Event venues.

8. INDEMNITIES AND LIMITATION OF LIABILITY

NEITHER OCC NOR ITS SUCCESSORS, ASSIGNS, OFFICERS, DIRECTORS, EMPLOYEES, CONTRACTORS, CONSULTANTS, REPRESENTATIVES AND AGENTS ARE RESPONSIBLE FOR ANY INJURY, LOSS, OR DAMAGE THAT MAY OCCUR TO COMPANY OR ITS SUCCESSORS, ASSIGNS, OFFICERS, DIRECTORS, EMPLOYEES, CONTRACTORS, CONSULTANTS, REPRESENTATIVES AND AGENTS OR ANY OF THEIR RESPECTIVE PROPERTY, BUSINESSES, OR OTHER ACTIVITIES FROM ANY CAUSE WHATSOEVER. Company assumes all such risk. Except to the extent such Losses (as defined below) are the result of OCC's gross negligence or willful misconduct, Company will

SPONSORSHIP & EXHIBITOR AGREEMENT



indemnify, defend, protect and hold harmless OCC (and its successors, assigns, officers, directors, employees, contractors, consultants, representatives and agents) from and against any and all losses, damages, injuries (including death), causes of action, claims, penalties, interest, additional taxes, demands and expenses, including reasonable legal fees and expenses (collectively, "Losses"), arising out of:

- any breach of this Agreement by Company;
- any violation by Company of any law, rule, regulation, or rules of the facility at which the Event occurs in connection with Company's performance of this Agreement;
- · infringement of the intellectual property rights of any third party by Company in connection with its performance of this Agreement;
- the death or personal injury of third parties, including invitees or employees of OCC, arising out of, or in any way resulting from, the negligent or willful acts or omissions of Company, and any of its agents, employees or representatives; or
- any other act or omission by Company in connection with its performance of this Agreement or presence at the Event.

Company's indemnity and defense obligations cover all claims brought under common law or statute, including but not limited to strict tort liability, strict products liability, negligence, misrepresentation, or breach of warranty. Company will indemnify OCC against any and all costs and expenses incurred in connection with the enforcement of this provision.

EXCEPT AS EXPRESSLY PROVIDED HEREIN AND TO THE MAXIMUM EXTENT PERMITTED BY APPLICABLE LAW, OCC MAKES NO WARRANTIES OF ANY KIND, WHETHER EXPRESS, IMPLIED, STATUTORY OR OTHERWISE, AND SPECIFICALLY DISCLAIMS ALL IMPLIED WARRANTIES, INCLUDING ANY WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE WITH RESPECT TO THE SERVICE AND/OR RELATED DOCUMENTATION.

9. COMPLIANCE WITH LAWS

Company shall abide by and comply with all applicable laws, rules and regulations, ordinances, and all rules of the facility at which the Event occurs.

10. INSURANCE

Company shall obtain and maintain liability insurance providing coverage against injuries to the person and property of others, with minimum limits that are commercially reasonable for the type of business and activities engaged in by Company. Company agrees to furnish evidence of such insurance upon request by OCC. Company hereby waives all rights of subrogation against OCC and the owner and manager of the Event venue. All property of Company shall remain under Company's custody and control, in transit to, within, or from the confines of the Event.

II. SEVERABILITY

Invalidity, illegality or unenforceability of any provision of this Agreement does not affect any other provision. If a court finds a provision of this Agreement invalid, illegal or unenforceable (an "Unenforceable Provision"), that provision will be severed and this Agreement will remain in force in all other respects. If that happens, the parties shall negotiate in good faith a valid provision that corresponds as far as possible to the spirit, purpose and commercial value of the Unenforceable Provision.

12. LICENSE GRANT

Company grants a limited license to OCC as may be necessary for OCC to fulfill its obligations under this Agreement or at the Event. Such license grant includes the use of Company's logos, trademarks, and copyrights in any materials related to Event, including without limitation, advertising materials, promotional materials, and other creative works in any media.

13. NO WAIVER

The failure of any party at any time to require performance by the other party of any provision of this Agreement will in no way affect the right to require such performance at any time thereafter, nor will the waiver of any party of a breach of any provision of this Agreement constitute a waiver of any succeeding breach of the same or any other provision.

14. GOVERNING LAW

The Agreement will be interpreted in accordance with, and governed by, the laws of Illinois, without reference to its choice of law rules. Any actions or proceedings by a party against the other party in connection with this Agreement may only be brought in the State of Illinois. Each party hereto hereby waives, to the fullest extent permitted by applicable law, any right it may have to a trial by jury in any legal proceeding arising out of or relating to this Agreement.